



## Statement of Authorised Status

**S.A. Faughnan (Brokers) Ltd ('the firm') (Ref. No. C4783)** is authorised under Section 10 of the Investment Intermediaries Act, 1995 (as amended).

As an Authorised Advisor, S.A. Faughnan (Brokers) Ltd is authorised to provide broad based investment advice and to receive and transmit orders in respect of the following investment instruments:

1. Tracker bonds
2. Insurance policies
3. Personal Retirement Savings Accounts ('PRSAs')

The firm is also authorised to act as a deposit broker and to give advice in relation to deposits.

When receiving and transmitting orders in relation to insurance policies and/or PRSAs the firm may only accept cash or cheques/bank drafts from clients payable to itself where:

- (i) an insurance undertaking has invited renewal of a policy of insurance, or
- (ii) a proposal for insurance and/or PRSAs has been accepted by an insurance undertaking.

In all other circumstances cheques/bank drafts or other payment instruments must be made payable to the product producer/other intermediary.

**For and on behalf of the Central Bank of Ireland:**

Signed:

*Neil Sharkeyson*

Signed:

*Rosaleen Galt*

**Retail Intermediaries**

01 MAY 2013